

APPENDIX

PEP QUESTIONNAIRE

MBK

When establishing a business relationship or conducting transactions, the Bank shall apply a procedure that determines whether the customer is a politically exposed person (PEP) in accordance with the obligation to conduct in-depth analysis prescribed by the Law on Prevention of Money Laundering and Financing of Terrorism. Politically Exposed Person is any natural person who is or was in the previous 12 months carrying out an eminent public duty in a member state or a third country, including their immediate family members * or persons known to be close associates of a politically exposed person **:

***Immediate family members shall include:**

1. the spouse or common law partner of a politically exposed person or the person living in a registered or informal life partnership with a politically exposed person
2. the children of a politically exposed person and their spouses or common law partners or the persons living in a registered or informal life partnership with the children of a politically exposed person
3. parents of a politically exposed person.

****Close associate shall be any natural person:**

1. who is known to have joint beneficial ownership of a legal person or a legal arrangement or any other close business relations with a politically exposed person or
2. who has sole beneficial ownership of a legal person or a legal arrangement, which are known to have been set up for the benefit of a politically exposed person.

With regard to conducting a due diligence of the client and acting in compliance with the object and purpose of the Money Laundering and Terrorism Financing Prevention Act? Please select one of the offered answers:

Do you hold (or have carried out in the previous 12 months) a prominent public office (duty) in your country of permanent residence / usual residence, or are you a member of the immediate family or close associate of a person holding a prominent public office?

1. I DO NOT HOLD / I AM NOT
2. I HOLD A PUBLIC OFFICE
3. I AM A MEMBER OF THE FAMILY OF THE PERSON HOLDING A PUBLIC OFFICE
4. I AM AN CLOSE ASSOCIATE OF THE PERSON HOLDING A PROMINENT PUBLIC OFFICE

If you selected any of the answers under numbers 2 - 4, please indicate which public duty is carried out / public office in question is held:

- State presidents of countries, prime ministers, ministers and their deputies and state secretaries and assistant ministers
- Elected members of legislative bodies
- Members of the governing bodies of political parties
- Municipality prefects, mayors, county prefects and their deputies elected on the basis of the law regulating local elections in the Republic of Croatia
- Judges of supreme or constitutional courts or courts of auditors, or other high- ranking judicial officials against whos decisions, save for exceptional cases, legal remedies may not be applied
- Members of central bank councils
- Ambassadors, chargés d'affairs and high ranking officers of armed forces
- Member of the board, management and/or supervisory board (as well as persons performing equivalent functions) of a commercial company that is owned or majority owned by the state or local and regional self-government units.
- Directors, deputy directors and members of the board, as well as persons carrying out equivalent function in international organizations.

If you selected answer 3. I AM MEMBER OF THE FAMILY OF THE PERSON HOLDING A PUBLIC OFFICE or 4. I AM AN CLOSE ASSOCIATE OF THE PERSON HOLDING A PROMINENT PUBLIC OFFICE to the question: "Do you hold (or have carried out in the previous 12 months) a prominent public office (duty) in your country of permanent residence / usual residence", please specify:

a) name and surname of a person holding a prominent public office _____

b) in what capacity as a member of the immediate family are you / in what way was your close association with that person achieved?

If you are a politically exposed person and the BENEFICIAL OWNER OF A LEGAL ENTITY, except Subsidiary owner (D), please specify:

a) source(s) of income (long-term assets that do not have to be the subject of the Bank's operations)

Please, select **at least one** answer.

- Financing (from Banks) Capital/Financial Income
- Labour income (income from employment or self-employment income) Savings Corporate income
- Income from company liquidation Pension / Vitality Household income
- Sales of properties Compensation Inheritance / Donation Cryptocurrencies
- Other _____

b) the source(s) of your assets

Please, select **at least one** answer.

- Inheritance / Donation Real estate income / financial investment Sale of goods / properties
- Insurance compensation Lottery / Betting / Casino Financial resources related to the spouse or other family member
- Income from public offices I have no assets
- Other _____

Please state the estimated value of your assets in EUR

Please, select **at least one** answer.

- Up to 5,000 € 5.000,01 € - 50.000 € 50.000,01 € - 100.000 € 100.000,01 € - 250.000 €
- 250.000,01 € - 1.000.000 € 1.000.000,01 € - 50.000.000,00 € 50.000.000,00 € and over

Thank you for your understanding!

PERSONAL DATA PROTECTION

Personal data protection: Privredna banka Zagreb d.d., Radnička cesta 50, Zagreb, TIN (OIB) 02535697732, entered in the register of the Commercial Court in Zagreb under the company registration number (MBS) 080002817 (hereinafter: the Bank), as a data controller, operates in accordance with the principles of the Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation). Contact details of the data protection officer: sluzbenik.za.zastitu.osobnih.podataka@pbz.hr When clients' personal data are collected, whether from the client at the time of collection or from another source the Bank shall provide information in accordance with Articles 13 and 14 of the General Data Protection Regulation, such as, for instance, information about the Bank as the controller, the purposes and the legal basis of personal data processing, the categories of personal data which are collected, the storage period, the recipients of data, the source of data, as well as the rights related to personal data protection (for instance, the right of access to personal data, the right to erasure, the right to object, etc.). We shall process and use your personal data as well as personal data of other natural persons (e.g. authorised representatives, authorised signatories, contact persons, etc.) provided for the purpose of execution and performance in a lawful, fair and transparent manner, while protecting personal data from unauthorised or unlawful processing and implementing the highest-level technical, security and organisational protection measures. Detailed information on the protection of your privacy is available in the document "Information on the processing of personal data of natural persons in transactions with legal entities" at www.pbz.hr and at the premises of the Bank.

By signing this Document, I confirm to have been informed/advised that the data provided in this document are necessary for the Bank, acting as the data controller, in order to honour its legal obligations, pursuant to Article 6, paragraph 1, item c) of the General Data Protection Regulation.

Hereby I confirm that all data given in this document are accurate and true and the Bank has the right to check them. I will personally inform the Bank about any changes regarding data given above.

The bank will terminate the business relationship with the client if it determines that the information provided in this questionnaire is not true and/or correct. If this document is signed electronically, it was created and signed in electronic form, and in that case the User accepts the use and circulation of this Questionnaire for his needs, as well as for the needs of this contractual relationship in electronic form. The questionnaire is considered signed when the User's authorized person signs it with a qualified electronic signature (confirmed by a qualified electronic signature certificate) with an embedded qualified electronic time stamp, all in accordance with the applicable regulations on electronic signatures. This Questionnaire is available to the User in electronic form in accordance with previously accepted rules of conduct in accordance with the Bank's internal acts on the use of the PBZ secure communication system. Each individual copy of this electronic document is considered an original and has the same legal force and produces the same legal effects as a document on paper signed with a handwritten signature and can be used in all actions for which the use of documents in their original form is required and cannot be deny legal effect and admissibility merely because it is in electronic form. The user will not dispute the legal validity of the qualified electronic signature on this Questionnaire only because it was created, used, traded and signed in electronic form.

PERSONAL SIGNATURE CERTIFYING DATA ACCURACY AND TRUTHFULNESS.

First and last name of the person to whom the data refer: _____

First and last name of authorised representative Signature of business entity's authorised person Place, Date / L.P
(identification against presentation of identity card/passport)

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